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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of )  
Stephen GOLD ) Attention:  
Application Number 09/843,144 ) OIPE  
Filed April 27, 2001 )  
For: MANAGING DISK DRIVE REPLACEMENTS )  
ON MULTIDISK HEADLESS APPLIANCES )

Honorable Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

This is in response to the Notice of Missing Parts dated June 25, 2001, of the Initial Patent Examination Division of the Patent and Trademark Office, the period for response to which is set to expire on August 25, 2001. Submitted herewith is the executed declaration for the above-captioned application.

The fee of \$1000.00, which includes the late declaration, additional claim and basic statutory filing fees is enclosed by way of credit card authorization. Please charge any deficiencies in the enclosed fees to Deposit Account Number 07-1337 and direct any inquiries in connection with this application directly to the undersigned.

Respectfully submitted,

Randy A. Noranbrock  
Registration Number 42,940  
for Allan M. Lowe  
Registration Number 19,641

**LOWE HAUPTMAN GILMAN & BERNER, LLP (22429)**  
1700 Diagonal Road  
Suite 310  
Alexandria, Virginia 22314  
(703) 684-1111

August 27, 2001

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/843,144         | 04/27/2001          | Stephen Gold          | 1509-175               |

CONFIRMATION NO. 7307

## FORMALITIES LETTER



\*OC000000006222446\*

LOWE HAUPTMAN GILMAN & BERNER, LLP (22429)  
Suite 310  
1700 Diagonal Road  
Alexandria, VA 22314

Date Mailed: 06/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$160.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1000.**

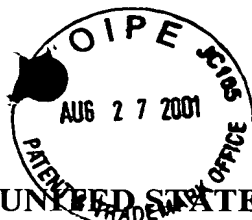
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/29/2001 KZEMDIE 00000096 09843144  
01 FC:101 710.00 OF  
02 FC:105 130.00 OF  
03 FC:102 160.00 OF



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LETTER

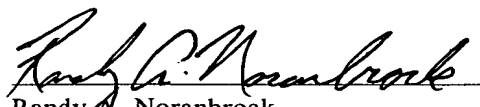
Sir:

The below-identified communications are submitted in the above-captioned application or proceeding:

- |                                     |  |                                     |                                  |
|-------------------------------------|--|-------------------------------------|----------------------------------|
| <input type="checkbox"/>            | Formal Drawings ( sheets)                            | <input type="checkbox"/>            | Letter to Draftsperson           |
| <input checked="" type="checkbox"/> | Priority Document                                    | <input checked="" type="checkbox"/> | Credit Card Authorization        |
| <input checked="" type="checkbox"/> | Notice of Priority                                   | <input type="checkbox"/>            | Associate Power of Attorney      |
| <input checked="" type="checkbox"/> | Response to Notice of Missing Parts with declaration | <input type="checkbox"/>            | Information Disclosure Statement |
|                                     |  | <input type="checkbox"/>            | Substitute Specification         |

- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication, including fees under 37 C.F.R. §§ 1.16 and 1.17 or credit any overpayment to **Deposit Account Number 07-1337**. A duplicate copy of this sheet is attached.

Respectfully submitted,

  
Randy A. Noranbrock  
Registration Number 42,940  
for Allan M. Lowe

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August 27, 2001